



太平洋證券有限公司
PACIFIC FOUNDATION SECURITIES LIMITED

Participant of The Stock Exchange of Hong Kong Ltd. (Broker No. 2160-2, 2167-9)
香港聯合交易所有限公司參與者 (編號2160-2, 2167-9)
證監會檔案編號(CE No.): AAE696

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Charges for Securities Services

Effective from 1/3/2022

1. Transaction Cost	
Brokerage	0.25% of gross amount, Minimum \$100.00 (or determine by Client and Sales Representative)
Contract Stamp	0.13% of gross amount (rounding up to the nearest dollar)
Transaction Levy (SFC)	0.0027% of gross amount
Trading Fee (HKEx)	0.005% of gross amount
CCASS Fee	0.009% of gross amount, Minimum \$3.00, Maximum \$300.00
FRC Transaction Levy	0.00015% of gross amount (rounded to the nearest cent)
Late Settlement	5% plus prime rate per annum of the unsettled amount
2. Scrip Handling and Settlement-Related Services	
Scrip Withdrawal from CCASS	\$5.00 per broad lot
Stamp Duty on Transfer Deed	\$5.00 per transfer deed
Settlement Instruction Fee (SI)	Received shares: free, Delivery shares: (Handling Charge) 0.005% of total consideration per transaction (Based on previous day closing price), Minimum \$20.00
Investor Settlement Instruction Fee (ISI)	Received shares: free, Delivery shares: \$20.00 per transaction
3. Securities Account Services	
Opening of Securities Account	Free
Inactive Account* Annual fee	\$120.00
4. Nominees Services & Corporate Actions	
Scrip Fee - Register under HKSCC Nominees Ltd.	\$2.50 per broad lot
Dividend Collection Fee	0.30% of dividend amount, Minimum \$20.00, Maximum \$500.00 (Hong Kong Stock)
	0.30% of dividend amount, Minimum \$300.00 (Overseas Stock)
Rights, Open offer, Bonus Issue & Scrip Option	Handling fee \$20.00
Right Issue Entitlement (Including Excess Rights Application/ Preferential Offer & Open Offer)	Charge according to CCASS disbursement (\$0.80 per board lot) plus \$20.00 Handling Charge per transaction
Callable Bull/ Bear Contracts Exercise/ Mandatory Call of Callable Bull/ Bear Contracts	Charge according to CCASS disbursement (\$0.80 per board lot) plus \$20.00 Handling Charge per transaction
Cash or Scrip Offer/ Compulsory Acquisition/ Shares Privatization	Charge according to CCASS disbursement (\$0.80 per board lot) plus \$20.00 Handling Charge per transaction
5. IPO Services	
Applied by Nominees Company	1. Non-financing application - \$150.00
	2. Financing application - \$ 200.00 plus update interest
6. Other Services	
Overseas Stock Transaction	Commission and fees charged by executed brokers and Settlement handling fee US\$50.00
Dividend / Bonus Claims	\$300.00 for each successful claim (not include photocopy & scrip fee)
Bank Transfer	Client pays the bank charges of both parties, our handling fee \$100.00 (local) and \$200.00 (overseas)
Foreign Exchange Settlement	Handling fee \$100.00
Photocopy	\$3.00 per sheet
Paper Statement Fee	\$360.00 per year

#If no specification, using HKD as clearing currency

*Inactive account - account only has few stock quantity on hand and no transaction in the past two years.



股票服務收費表

由 1/3/2022 起生效

1. 證券交易服務	
佣金	每宗買賣價值的 0.25%, 及最低收費 \$100.00 (或由客戶與客戶主任自行議定)
政府印花稅	每宗買賣價值的 0.13% (以[元]為單位, [角]及[分]恕不適用)
交易徵費 (證監會)	買賣價值的 0.0027%
交易費 (聯交所)	買賣價值的 0.005%
交易結算費	買賣價值的 0.009%, 最低收費 \$3.00, 最高收費 \$300.00
財匯局交易徵費	買賣價值的 0.00015% (金額值計算至仙位)
遲交收	未交收金額以最優惠利率加 5% 按年計算
2. 處理實物股票及交收的服務	
經由結算公司提取實物股票	每手收費 \$5.00
轉讓契印花稅 (轉名)	每張收費 \$5.00
交收指示費	存入股票 - 免費 提取股票 - 每宗手續費為上日收市價總值的 0.005%, 每隻股票最低收費 \$20.00
投資者交收指示費	存入股票 - 免費 提取股票 - 每宗手續費 \$20.00
3. 帳戶服務	
開立帳戶費用	免費
(非活躍賬戶)* 年費	\$120.00
4. 代理人服務及企業行動	
股票過戶費 - 以中央結算公司名義登記	每手收費 \$2.50
股息處理收費	所收取股息總額之 0.30%, 最低收費 \$20.00 最高收費 \$500.00 (香港股票) 所收取股息總額之 0.30%, 最低收費 \$300.00 (海外股票)
以股代息選擇, 紅股, 公開發售及認股權處理費	手續費 \$20.00
代客供股 (包括額外申請供股, 優先配售, 公開發售)	按中央結算成本扣除 (每手 \$0.80) 及每宗處理收費 \$20.00
行使牛熊證/ 強制贖回牛熊證	按中央結算成本扣除 (每手 \$0.80) 及每宗處理收費 \$20.00
現金/ 股份收購, 強制收購, 股票私有化	按中央結算成本扣除 (每手 \$0.80) 及每宗處理收費 \$20.00
5. 認購新上市股份服務	
以代理人公司代為申請	1. 不需借貸申請, 費用每張 \$150.00 2. 需要借貸申請, 費用每張 \$200.00, 加利息 (以當時利率計算)
6. 其他服務	
海外證券交易	海外經紀佣金及費用, 另加交收手續費 US\$50.00
代追股息/ 紅股	每項成功追討費用 \$300.00 (不包括所需費用)
銀行轉帳	客戶支付雙方銀行費用, 另加手續費 \$100.00 (本地) \$200.00 (海外)
外幣兌換結算	手續費 \$100.00
影印費	日/月結單每張 \$3.00
結單費	每年 \$360.00

如非註明, 所有金額以港元結算

* 非活躍賬戶 - 指持股量極少而在過去兩年內沒有交易之賬戶